

## INDEPENDENT ASSURANCE REPORT

To the management of China Financial Certification Authority Co., Ltd. ("CFCA"):

We have been engaged, in a reasonable assurance engagement, to report on CFCA management's assertion that for its Certification Authority (CA) operations as enumerated in Appendix C, throughout the period 1 August 2024 to 31 July 2025 for its CAs as enumerated in Appendix A, CFCA has:

- disclosed its business, key lifecycle management, certificate lifecycle management, and CA environmental control practices in its Certification Practice Statement (CP/CPS) as enumerated in Appendix B
- maintained effective controls to provide reasonable assurance that:
  - CFCA's Certification Practice Statement is consistent with its Certificate Policy
  - CFCA provides its services in accordance with its Certificate Policy and Certification Practice Statement
- maintained effective controls to provide reasonable assurance that:
  - the integrity of keys and certificates it manages is established and protected throughout their lifecycles;
  - the integrity of subscriber keys and certificates it manages is established and protected throughout their lifecycles; and
  - subscriber information is properly authenticated
- maintained effective controls to provide reasonable assurance that:
  - logical and physical access to CA systems and data is restricted to authorized individuals;
  - the continuity of key and certificate management operations is maintained; and
  - CA systems development, maintenance, and operations are properly authorized and performed to maintain CA systems integrity

in accordance with the [WebTrust Principles and Criteria for Certification Authorities, v2.2.2.](#)

CFCA does not escrow its CA keys, does not provide subscriber key generation services, and does not provide certificate suspension services. Accordingly, our procedures does not extend to controls that would address those criteria.

### **Certification authority's responsibilities**

CFCA's management is responsible for its assertion, including the fairness of its presentation, and the provision of its described services in accordance with the [WebTrust Principles and Criteria for Certification Authorities, v2.2.2.](#)

### **Our independence and quality control**

We have complied with the independence and other ethical requirements of the *Code of Ethics for Professional Accountants* issued by the International Ethics Standards Board for Accountants, which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional

behaviour.

The firm applies International Standard on Quality Management (ISQM) 1, Quality Management for Firms that Perform Audits or Reviews of Financial Statements, or Other Assurance or Related Services Engagements and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

### **Auditor's responsibilities**

Our responsibility is to express an opinion on management's assertion based on our procedures. We conducted our procedures in accordance with International Standard on Assurance Engagements 3000, *Assurance Engagements Other than Audits or Reviews of Historical Financial Information*, issued by the International Auditing and Assurance Standards Board. This standard requires that we plan and perform our procedures to obtain reasonable assurance about whether, in all material respects, management's assertion is fairly stated, and, accordingly, included:

- (1) obtaining an understanding of CFCA's key and certificate lifecycle management business practices and its controls over key and certificate integrity, over the authenticity and confidentiality of subscriber and relying party information, over the continuity of key and certificate lifecycle management operations and over development, maintenance and operation of systems integrity;
- (2) selectively testing transactions executed in accordance with disclosed key and certificate lifecycle management business practices;
- (3) testing and evaluating the operating effectiveness of the controls; and
- (4) performing such other procedures as we considered necessary in the circumstances.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### **Relative effectiveness of controls**

The relative effectiveness and significance of specific controls at CFCA and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

### **Inherent limitations**

Because of the nature and inherent limitations of controls, CFCA's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.

### **Opinion**

In our opinion, throughout the period 1 August 2024 to 31 July 2025, CFCA management's assertion, as referred to above, is fairly stated, in all material respects, in accordance with the [WebTrust Principles and Criteria for Certification Authorities, v2.2.2](#).

This report does not include any representation as to the quality of CFCA's services beyond those covered by the [WebTrust Principles and Criteria for Certification Authorities, v2.2.2](#), nor the suitability of any of CFCA's services for any customer's intended purpose.

### **Use of the WebTrust seal**

CFCA's use of the WebTrust for Certification Authorities Seal constitutes a symbolic representation of the contents

香港干諾道中111號永安中心2105室  
2105 Wing On Centre  
111 Connaught Road Central Hong Kong  
info@ankm.co +852 2246 6888  
WhatsApp +852 6252 6988

**AKAM** Anthony KAM  
& associates ltd  
certified public accountants  
關孝財會計師行有限公司  
China Mainland Hong Kong  
Malaysia Singapore

of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.



Anthony Kam & Associates Ltd.

Certified Public Accountants

2105 Wing On Ctr, 111 Connaught Road, HK SAR, China

21 Oct 2025

KAM Hau Choi Anthony

Practising Certificate Number P02558

## Appendix A

The list of keys and certificates covered in the management's assertion is as follow:

Subject DN	Key Type	Signature Algorithm	Key Size	Subject Key Identifier	SHA256 Certificate Thumbprints	Certificate Signed by
CN = CFCA EV ROOT O = China Financial Certification Authority C = CN	Root Key	sha256RSA	4096 bits	e3fe2dfd28d0 0bb5bab6a2c4 bf06aa058c93f b2f	5CC3D78E4E1 D5E45547A04 E6873E64F90C F9536D1CCC2 EF800F355C4C 5FD70FD	CFCA EV ROOT
CN = CFCA EV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	5508e2dcc95 6d1f5ddeb347 e8e916c6c045 77c4	CC7253EBDE9 F7E92CBA297 B5BADED1B22 E5CEACA525E 201B4DC410F 4F3504B5E	CFCA EV ROOT
CN = CFCA OV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	66b3effb5495 87e9aca59656 aee67ded3ad0 43d1	F07BBBDE076 F9B40C57CC4 BEFEDE97CA1 F53B9AE147F0 35D284CBF53 F3432FB8	CFCA EV ROOT
CN = CFCA DV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	55200db47d2 9fe2c6dcf9d3 1cbf015aa7dc 81bd	DA738A474EE 7473C9699EC BA8EB5F483A DA967988185 A05975C4BA0 C01B39559	CFCA EV ROOT
CN = CFCA Global RSA ROOT G2 O = China Financial Certification Authority C = CN	Root Key	sha512RSA	4096 bits	fb5401131003 4c5884e2a706 84f962055d12 89b7	6E6EB29F5EBA 910AFFD462F C921D724E52 6805EFE908AE C45BD409B62 4E14C09	CFCA Global RSA ROOT G2
CN = CFCA DV RSA OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	4096 bits	dcaae14b5c5a 649f6b570fd0 545d66a4e88e 7973	CDC4606B696 8C6D65FFB61 B84FAD39061 27C33EC7EAC BB0B8B20B38 9767E6A0F	CFCA Global RSA ROOT G2

CN = CFCA OV RSA OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	4096 bits	31f12de8b757 6955bb85734 014b6214a21c 2fbdd	EB6C466E647 A5EB633A382 90FD30131DD 7B887B51E134 0ABB502C7AB 31688F04	CFCA Global RSA ROOT G2
CN = CFCA EV RSA OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	4096 bits	b1bcbe24db2 d0a94810afa5 001290dcafc2f 443b	4D452B952FD CDE663C1040 0AED613C96B ED8C4BF1A8F 750D8A74D5C 4183B1920	CFCA Global RSA ROOT G2
CN = CFCA Global ECC ROOT G2 O = China Financial Certification Authority C = CN	Root Key	sha384ECDSA	384 bits	cc4708eaa3d4 f57626500f87 86321dc992d6 10bd	23E4F8DA7D4 82CD1052894 33C2E10CE67 C1E1092B4DC 50101F6D0C3 46E965972	CFCA Global ECC ROOT G2
CN = CFCA DV ECC OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha384ECDSA	384 bits	c8cdaa655122 77cf837ae558 6899be2a0ac7 3069	ADBB46AF1FE 1426F69BBCD 0CDBD671650 313A5C63993 708CC4B465F 14BD4E01B	CFCA Global ECC ROOT G2
CN = CFCA OV ECC OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha384ECDSA	384 bits	064e6c68b695 d1454d49658 38f60805657d a05d6	6F6478FBFF45 CC30AC0FE4E C3CC4EF3CAF 0E959508B003 42C229DD60C 9A432A1	CFCA Global ECC ROOT G2
CN = CFCA EV ECC OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha384ECDSA	384 bits	b7202c74b5b 5a5fceb183f3d e7bd4ed225c5 a044	80C868B3163 13761E34D61 22AD687D462 E7016FC54FD CBBA8D70F14 9752891C3	CFCA Global ECC ROOT G2
CN = CFCA Identity CA O = China Financial Certification Authority C = CN	Root Key	sha256RSA	4096 bits	c0ac76a2d35d fff6cd16005b3 8a77f557d855 96c	FFB85C26308 A961351249E A641F659D49 F639E91DAED 9C92D046CCD CECC93D2F	CFCA Identity CA

香港干諾道中111號永安中心2105室  
 2105 Wing On Centre  
 111 Connaught Road Central Hong Kong  
 info@ankm.co +852 2246 6888  
 WhatsApp +852 6252 6988

CN = CFCA Identity OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	9c44f4bf378f4 60b5991e5b6 d81c0e77bc9a f272	0566635F27C0 8FB06292264B 8B4EDCB3708 01A2F586D6A 7840D414031 2FD5A24	CFCA Identity CA
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## Appendix B

Applicable versions of Certification Practice Statement (CPS) and Certificate Policy (CP) in-scope:

Name	Version	Date
<a href="#">CFCA Certificate Policy and Certification Practice Statement for Global Trusted System</a>	4.8	21 July 2025
<a href="#">CFCA Certificate Policy and Certification Practice Statement for Global Trusted System</a>	4.7	30 May 2025
<a href="#">CFCA Certificate Policy and Certification Practice Statement for Global Trusted System</a>	4.6	30 August 2024
<a href="#">CFCA Certificate Policy and Certification Practice Statement for Global Trusted System</a>	4.5	August 2023

## Appendix C

### Locations in-scope:

Location	Function
Beijing (North), China	Datacenter Facility, Main Site
Beijing (South), China	Datacenter Facility, Backup Site
Beijing (Central), China	Administration and Support
Chengdu, China	Registrations and Customer Services

## CFCA MANAGEMENT'S ASSERTION

China Financial Certification Authority Co., Ltd. ("CFCA") operates the Certification Authority (CA) services known as CAs in Appendix A, and provides the following CA services:

- Subscriber registration
- Certificate renewal
- Certificate rekey
- Certificate issuance
- Certificate distribution
- Certificate revocation
- Certificate validation

The management of CFCA is responsible for establishing and maintaining effective controls over its CA operations, including its CA business practices disclosure on its website, CA business practices management, CA environmental controls, CA key lifecycle management controls, and certificate lifecycle management controls. These controls contain monitoring mechanisms, and actions are taken to correct deficiencies identified.

There are inherent limitations in any controls, including the possibility of human error, and the circumvention or overriding of controls. Accordingly, even effective controls can only provide reasonable assurance with respect to CFCA's Certification Authority operations. Furthermore, because of changes in conditions, the effectiveness of controls may vary over time.

CFCA management has assessed its disclosures of its certificate practices and controls over its CA services. Based on that assessment, in CFCA management's opinion, in providing its Certification Authority (CA) services at locations as enumerated in Appendix C, throughout the period 1 August 2024 to 31 July 2025, CFCA has:

- disclosed its business, key lifecycle management, certificate lifecycle management, and CA environmental control practices in its Certification Practice Statement (CPS) and Certificate Policy (CP) as enumerated in Appendix B
- maintained effective controls to provide reasonable assurance that:
  - CFCA's applicable versions of Certification Practice Statement are consistent with its applicable versions of Certificate Policy; and
  - CFCA provides its services in accordance with its Certificate Policy and Certification Practice Statement
- maintained effective controls to provide reasonable assurance that:
  - the integrity of keys and certificates it manages is established and protected throughout their lifecycles;
  - the integrity of subscriber keys and certificates it manages is established and protected throughout their lifecycles; and
  - subscriber information is properly authenticated
- maintained effective controls to provide reasonable assurance that:
  - logical and physical access to CA systems and data is restricted to authorised individuals;



- the continuity of key and certificate management operations is maintained; and
- CA systems development, maintenance, and operations are properly authorised and performed to maintain CA systems integrity

in accordance with the [WebTrust Principles and Criteria for Certification Authorities, v2.2.2](#), including the following:

#### **CA Business Practices Disclosure**

- Certification Practice Statement (CPS)
- Certificate Policy (CP)

#### **CA Business Practices Management**

- Certificate Policy Management
- Certification Practice Statement Management
- CP and CPS Consistency

#### **CA Environmental Controls**

- Security Management
- Asset Classification and Management
- Personnel Security
- Physical & Environmental Security
- Operations Management
- System Access Management
- System Development and Maintenance
- Business Continuity Management
- Monitoring and Compliance
- Audit Logging

#### **CA Key Lifecycle Management Controls**

- CA Key Generation
- CA Key Storage, Backup, and Recovery
- CA Public Key Distribution
- CA Key Usage
- CA Key Archival and Destruction
- CA Key Compromise
- CA Cryptographic Hardware Lifecycle Management

#### **Certificate Lifecycle Management Controls**

- Subscriber Registration
- Certificate Renewal
- Certificate Rekey
- Certificate Issuance
- Certificate Distribution
- Certificate Revocation
- Certificate Validation



CFCA does not escrow its CA keys, does not provide subscriber key generation services, and does not provide certificate suspension services. Accordingly, our assertion does not extend to controls that would address those criteria.

Mr. \_\_\_\_\_



CEO of China Financial Certification Authority Co., Ltd.  
20-3, Pingyuanli, Caishikou South Avenue, Xi Cheng District, Beijing, China

21 October 2025

## Appendix A

The list of keys and certificates covered in the management's assertion is as follow:

Subject DN	Key Type	Signature Algorithm	Key Size	Subject Key Identifier	SHA256 Certificate Thumbprints	Certificate Signed by
CN = CFCA EV ROOT O = China Financial Certification Authority C = CN	Root Key	sha256RSA	4096 bits	e3fe2dfd28d00bb5bab6a2c4bf06aa058c93fb2f	5CC3D78E4E1D5E45547A04E6873E64F90CF9536D1CCC2EF800F355C4C5FD70FD	CFCA EV ROOT
CN = CFCA EV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	5508e2dccc956d1f5ddeb347e8e916c6c04577c4	CC7253EBDE9F7E92CBA297B5BADED1B22E5CEACA525E201B4DC410F4F3504B5E	CFCA EV ROOT
CN = CFCA OV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	66b3effb549587e9aca59656aee67ded3ad043d1	F07BBBDE076F9B40C57CC4BEFEDE97CA1F53B9AE147F035D284CBF53F3432FB8	CFCA EV ROOT
CN = CFCA DV OCA O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	2048 bits	55200db47d29fe2c6dcf9dd31cbf015aa7dc81bd	DA738A474EE7473C9699ECB8E8B5F483ADA967988185A05975C4BA0C01B39559	CFCA EV ROOT
CN = CFCA Global RSA ROOT G2 O = China Financial Certification Authority C = CN	Root Key	sha512RSA	4096 bits	fb54011310034c5884e2a70684f962055d1289b7	6E6EB29F5EBA910AFFD462FC921D724E526805EFE908AEC45BD409B624E14C09	CFCA Global RSA ROOT G2
CN = CFCA DV RSA OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	4096 bits	dcaae14b5c5a649f6b570fd0545d66a4e88e7973	CDC4606B6968C6D65FFB61B84FAD3906127C33EC7EACBB0B8B20B389767E6A0F	CFCA Global RSA ROOT G2
CN = CFCA OV RSA OCA G2 O = China Financial Certification Authority C = CN	Signing Key	sha256RSA	4096 bits	31f12de8b7576955bb85734014b6214a21c2fbdd	EB6C466E647A5EB633A38290FD30131DD7B887B51E1340ABB502C7AB31688F04	CFCA Global RSA ROOT G2

<p>CN = CFCA EV RSA OCA G2 O = China Financial Certification Authority C = CN</p>	Signing Key	sha256RSA	4096 bits	b1bcbe24db2d0a94810afa5001290dcafc2f443b	4D452B952FD CDE663C10400 AED613C96BE D8C4BF1A8F75 0D8A74D5C41 83B1920	CFCA Global RSA ROOT G2
<p>CN = CFCA Global ECC ROOT G2 O = China Financial Certification Authority C = CN</p>	Root Key	sha384ECDSA	384 bits	cc4708eaa3d4f57626500f8786321dc992d610bd	23E4F8DA7D4 82CD10528943 3C2E10CE67C1 E1092B4DC501 01F6D0C346E9 65972	CFCA Global ECC ROOT G2
<p>CN = CFCA DV ECC OCA G2 O = China Financial Certification Authority C = CN</p>	Signing Key	sha384ECDSA	384 bits	c8cdaa65512277cf837ae5586899be2a0ac73069	ADBB46AF1FE 1426F69BBCD0 CDBD6716503 13A5C6399370 8CC4B465F14B D4E01B	CFCA Global ECC ROOT G2
<p>CN = CFCA OV ECC OCA G2 O = China Financial Certification Authority C = CN</p>	Signing Key	sha384ECDSA	384 bits	064e6c68b695d1454d4965838f60805657da05d6	6F6478FBFF45 CC30AC0FE4EC 3CC4EF3CAF0E 959508B00342 C229DD60C9A 432A1	CFCA Global ECC ROOT G2
<p>CN = CFCA EV ECC OCA G2 O = China Financial Certification Authority C = CN</p>	Signing Key	sha384ECDSA	384 bits	b7202c74b5b5a5fceb183f3de7bd4ed225c5a044	80C868B31631 3761E34D6122 AD687D462E7 016FC54FDCBB A8D70F149752 891C3	CFCA Global ECC ROOT G2
<p>CN = CFCA Identity CA O = China Financial Certification Authority C = CN</p>	Root Key	sha256RSA	4096 bits	c0ac76a2d35dff6cd16005b38a77f557d85596c	FFB85C26308A 961351249EA6 41F659D49F63 9E91DAED9C9 2D046CCDCEC C93D2F	CFCA Identity CA
<p>CN = CFCA Identity OCA O = China Financial Certification Authority C = CN</p>	Signing Key	sha256RSA	2048 bits	9c44f4bf378f460b5991e5b6d81c0e77bc9af272	0566635F27C0 8FB06292264B 8B4EDCB3708 01A2F586D6A 7840D4140312 FD5A24	CFCA Identity CA

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## Appendix C

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