

Independent Assurance Report

To the Management of WISeKey SA (WISeKey):

Scope

We have been engaged, in a reasonable assurance engagement, to report on WISeKey management's assertion that for its Certification Authority (CA) operations at Geneva, Switzerland, throughout the period May 9, 2019 through May 8, 2020 for its CAs as enumerated in Appendix A, WISeKey has:

- disclosed its business, key lifecycle management, certificate lifecycle management, and CA environment control practices in its Certification Practice Statements as enumerated in Appendix B.
- maintained effective controls to provide reasonable assurance that:
 - WISeKey provides its services in accordance with its Certification Practice Statements
- maintained effective controls to provide reasonable assurance that:
 - the integrity of keys and certificates it manages is established and protected throughout their lifecycles;
 - the integrity of subscriber keys and certificates it manages is established and protected throughout their lifecycles;
 - subscriber information is properly authenticated (for the registration activities performed by WISeKey); and
 - subordinate CA certificate requests are accurate, authenticated, and approved.
- maintained effective controls to provide reasonable assurance that:
 - logical and physical access to CA systems and data is restricted to authorized individuals;
 - the continuity of key and certificate management operations is maintained; and
 - CA systems development, maintenance, and operations are properly authorized and performed to maintain CA systems integrity

in accordance with the WebTrust Principles and Criteria for Certification Authorities v2.1.

WISeKey makes use of external registration authorities for specific subscriber registration activities as disclosed in WISeKey's business practices. Our procedures did not extend to the controls exercised by these external registration authorities.

WISeKey does not escrow its CA keys. Accordingly, our procedures did not extend to controls that would address those criteria.



Certification authority's responsibilities

WISeKey's management is responsible for its assertion, including the fairness of its presentation, and the provision of its described services in accordance with the WebTrust Principles and Criteria for Certification Authorities v2.1.

Our independence and quality control

We have complied with the independence and other ethical requirements of the *Code of Ethics for Professional Accountants* issued by the International Ethics Standards Board for Accountants, which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies International Standard on Quality Control 1, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Auditor's responsibilities

Our responsibility is to express an opinion on management's assertion based on our procedures. We conducted our procedures in accordance with International Standard on Assurance Engagements 3000, *Assurance Engagements Other than Audits or Reviews of Historical Financial Information*, issued by the International Auditing and Assurance Standards Board. This standard requires that we plan and perform our procedures to obtain reasonable assurance about whether, in all material respects, management's assertion is fairly stated, and, accordingly, included:

- (1) obtaining an understanding of WISeKey's key and certificate lifecycle management business practices and its controls over key and certificate integrity, over the authenticity and confidentiality of subscriber and relying party information, over the continuity of key and certificate lifecycle management operations and over development, maintenance and operation of systems integrity;
- (2) selectively testing transactions executed in accordance with disclosed key and certificate lifecycle management business practices;
- (3) testing and evaluating the operating effectiveness of the controls; and
- (4) performing such other procedures as we considered necessary in the circumstances.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Relative effectiveness of controls

The relative effectiveness and significance of specific controls at WISeKey and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.



Inherent limitations

Because of the nature and inherent limitations of controls, WISEKey's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.

Opinion

In our opinion, throughout the period May 9, 2019 through May 8, 2020, WISEKey management's assertion, as referred to above, is fairly stated, in all material respects, in accordance with the WebTrust Principles and Criteria for Certification Authorities v2.1.

This report does not include any representation as to the quality of WISEKey's services beyond those covered by the WebTrust Principles and Criteria for Certification Authorities v2.1, nor the suitability of any of WISEKey's services for any customer's intended purpose.

Use of the WebTrust seal

WISEKey's use of the WebTrust for Certification Authorities Seal constitutes a symbolic representation of the contents of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.

A handwritten signature in blue ink, appearing to be "F. Mondragon". The signature is stylized with a large, sweeping initial letter.

F. Mondragon, Auditor

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Valencia, SPAIN

June 19, 2020



APPENDIX A: List of CAs in Scope

Policy CAs
1. WISEKey CertifyID Advanced G1 CA
5. WISEKey CertifyID Policy GA CA 1
6. WISEKey CertifyID Qualified G1 CA
8. WISEKey CertifyID Standard G1 CA
OV SSL Issuing Cas
3. WISEKey CertifyID Advanced Services CA 3
4. WISEKey CertifyID Advanced Services CA 4
10. WISEKey CertifyID SSL GB CA 1
13. WISEKey CertifyID Advanced GC CA 1
EV SSL Issuing Cas
3. WISEKey CertifyID Advanced Services CA 3
4. WISEKey CertifyID Advanced Services CA 4
10. WISEKey CertifyID SSL GB CA 1
13. WISEKey CertifyID Advanced GC CA 1
Other Cas
2. WISEKey CertifyID Advanced Services CA 2
7. WISEKey CertifyID Qualified Services CA 2
9. WISEKey CertifyID Standard Services CA 2
11. WISEKey CertifyID Personal GB CA 1
12. WISEKey CertifyID Personal GB CA 2



CA Identifying Information for in Scope CAs

CA#	Cert #	Subject	Issuer	serialNumber	notBefore	NotAfter	SHA256 Fingerprint
1	1	CN=WISeKey CertifyID Advanced G1 CA, OU=International, OU=Copyright (c) 2005 WISeKey SA, O=WISeKey, C=CH	CN=OISTE WISeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WISeKey, C=CH	6191DBA1000000000002	Dec 11 17:50:47 2005 GMT	Dec 11 18:00:47 2020 GMT	46A2A3A0F11E03C03A41F3E5175FD58BEC1022FB81EB9349317E1FDBA64CC3D4
2	2	CN=WISeKey CertifyID Advanced Services CA 2, OU=International, OU=Copyright 2011 WISeKey SA, O=WISeKey, C=CH	CN=WISeKey CertifyID Advanced G1 CA, OU=International, OU=Copyright (c) 2005 WISeKey SA, O=WISeKey, C=CH	341818F7000000000001A	Feb 9 15:37:32 2011 GMT	Dec 11 18:00:47 2020 GMT	0AD741D98A84C3B9C4E7552E15BFF1E369B6806839455DBAFCAC638124D5F100
3	3	CN=WISeKey CertifyID Advanced Services CA 3, OU=International, OU=Copyright (c) 2015 WISeKey SA, O=WISeKey, C=CH	CN=OISTE WISeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WISeKey, C=CH	43230BA40000000000006	Jul 28 15:22:20 2015 GMT	Jul 28 15:32:20 2030 GMT	6F90A5FD988FAECCEC5B17E5612FF36D199C884551F394F31AABA4F921E42A05
4	4	CN=WISeKey CertifyID Advanced Services CA 4, OU=International, OU=Copyright (c) 2016 WISeKey SA, O=WISeKey, C=CH	CN=OISTE WISeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WISeKey, C=CH	39FB3817000000000000D	Feb 10 16:53:24 2016 GMT	Dec 11 16:09:51 2037 GMT	41144BD4174C3152E1CA526F77D9F9CE89DEBC4EBA6C778F815C21164B5101D3
5	5	CN=WISeKey CertifyID Policy GA CA 1, O=WISeKey, C=CH	CN=OISTE WISeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WISeKey, C=CH	1824B869000000000000B	Dec 16 10:08:51 2015 GMT	Dec 11 16:09:51 2037 GMT	05746CEAC830B384405AB73CD6239DE42CE9F487553EF3FA8B0A064E5792683C
6	6	CN=WISeKey CertifyID Qualified G1 CA, OU=International, OU=Copyright (c) 2006 WISeKey SA, O=WISeKey, C=CH	CN=OISTE WISeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WISeKey, C=CH	6106E0760000000000004	Oct 17 15:48:05 2006 GMT	Oct 17 15:58:05 2021 GMT	68C182C8FE374D5C094E63259559F2F0764A589743583F4A03E3F63B74D7AEEA
7	7	CN=WISeKey Qualified Services CA 2, OU=International, OU=Copyright (c) 2013 WISeKey SA, O=WISeKey, C=CH	CN=WISeKey CertifyID Qualified G1 CA, OU=International, OU=Copyright (c) 2006 WISeKey SA, O=WISeKey, C=CH	7C4416CE0000000000007	Oct 24 15:10:19 2013 GMT	Oct 17 15:58:05 2021 GMT	300EB32190578B9AC578E1A1D28004219145698CE3B63F29DF21EE4C542C0885



CA#	Cert #	Subject	Issuer	serialNumber	notBefore	NotAfter	SHA256 Fingerprint
8	8	CN=WiSeKey CertifyID Standard G1 CA, OU=International, OU=Copyright (c) 2005 WiSeKey SA, O=WiSeKey, C=CH	CN=OISTE WiSeKey Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WiSeKey, C=CH	610D9774000000000003	Dec 23 10:45:32 2005 GMT	Dec 23 10:55:32 2020 GMT	AEDA9E129E5FBA1253CA79116AED990DDFAAAB24BD48A1CCBD99A7E03C9DDB96
9	9	CN=WiSeKey CertifyID Standard Services CA 2, OU=International, OU=Copyright (c) 2012 WiSeKey SA, O=WiSeKey, C=CH	CN=WiSeKey CertifyID Standard G1 CA, OU=International, OU=Copyright (c) 2005 WiSeKey SA, O=WiSeKey, C=CH	12E38153000000000001D	Jan 23 15:32:20 2012 GMT	Dec 23 10:55:32 2020 GMT	134A969968C70E476513B37B013D8C138C21104669B5AD62D4D5FAB16869B9EA
10	10	CN=WiSeKey CertifyID SSL GB CA 1, O=WiSeKey, C=CH	CN=OISTE WiSeKey Global Root GB CA, OU=OISTE Foundation Endorsed, O=WiSeKey, C=CH	3300000016CDDFF1900555458B7000000000016	May 1 08:22:35 2019 GMT	Dec 1 15:10:31 2039 GMT	EC02025A1A157B2C02AC49F559A8B6CF072126F8379499FAE7C52ED183DA9012
11	11	CN=WiSeKey CertifyID Personal GB CA 1, O=WiSeKey, C=CH	CN=OISTE WiSeKey Global Root GB CA, OU=OISTE Foundation Endorsed, O=WiSeKey, C=CH	33000000181A10DC86F27EBA94000000000018	May 16 10:30:38 2019 GMT	Dec 1 15:10:31 2039 GMT	EEBEDF846F1DBA0E88A9B6B9AB0FF65F097F284C06A64A939EE8C5C9E6F313F4
12	12	CN=WiSeKey CertifyID Personal GB CA 2, O=WiSeKey, C=CH	CN=OISTE WiSeKey Global Root GB CA, OU=OISTE Foundation Endorsed, O=WiSeKey, C=CH	33000000195877A053DC6898F6000000000019	May 16 10:35:31 2019 GMT	Dec 1 15:10:31 2039 GMT	56F32167F003D8F2CB738AB386C35CA15265CC29A98EE1066CD3E1AC556CA15C
13	13	CN=WiSeKey CertifyID Advanced GC CA 1, O=WiSeKey, C=CH	CN=OISTE WiSeKey Global Root GC CA, OU=OISTE Foundation Endorsed, O=WiSeKey, C=CH	1F00000007C30FBC43144D3B82000000000007	Aug 23 14:13:58 2017 GMT	May 9 09:58:33 2042 GMT	387D496B92202D4C443CD94FF42DA17DF2F1E68E244C2FBBA7E294DBDD11357B

APPENDIX B: LIST OF CERTIFICATION PRACTICE STATEMENTS

- **OISTE WISeKey Root Certification Practice Statement**

Version	Date	Changes
3.2	22 April 2020	Minor changes to improve compliance wording
3.1	10 May 2019	Added Appendix B with list of CAs and CP mapping
3.0	25 February 2019	New WISeKey CPS, subordinated to the OISTE CPS and in accordance with the new OISTE CP documents



WIS@key MANAGEMENT'S ASSERTION

as to its Disclosure of its Business Practices and Controls over its Certification Authority Operations during the period from May 9th 2019 through May 8th 2020

WIS@key SA (“**WIS@key**”) operates the Certification Authority (CA) services known as “**OISTE WIS@key Global Root GA**”, “**OISTE WIS@key Global Root GB**” and “**OISTE WIS@key Global Root GC**” (hierarchies with its subordinated Certification Authorities as detailed in appendix A), and provides the following CA services:

- Subscriber registration
- Certificate renewal
- Certificate rekey
- Certificate issuance
- Certificate distribution
- Certificate revocation
- Certificate suspension
- Certificate validation
- Subscriber key generation and management
- Subordinate CA certification
- Timestamp services

The management of **WIS@key** is responsible for establishing and maintaining effective controls over its CA operations, including its CA business practices disclosure on its website [<https://www.wisekey.com/repository>], CA business practices management, CA environmental controls, CA key lifecycle management controls, subscriber key lifecycle management controls, certificate lifecycle management controls, and subordinate CA certificate lifecycle management controls. These controls contain monitoring mechanisms, and actions are taken to correct deficiencies identified.

There are inherent limitations in any controls, including the possibility of human error, and the circumvention or overriding of controls. Accordingly, even effective controls can only provide reasonable assurance with respect to **WIS@key's** Certification Authority operations. Furthermore, because of changes in conditions, the effectiveness of controls may vary over time.

WIS@key management has assessed its disclosures of its certificate practices and controls over its CA services. Based on that assessment, in **WIS@key** management's opinion, in providing its Certification Authority (CA) services at its main and disaster recover datacentres in Switzerland, throughout the period May 9th 2019 through May 8th 2020, **WIS@key** has:

- disclosed its business, key lifecycle management, certificate lifecycle management, and CA environment control practices in the document “OISTE WIS@key Root Certification Practice Statement” as enumerated in Attachment B (combined CP & CPS documents)
- maintained effective controls to provide reasonable assurance that **WIS@key** provides its services in accordance with its Certificate Policy(ies) and Certification Practice Statement
- maintained effective controls to provide reasonable assurance that:
 - the integrity of keys and certificates it manages is established and protected throughout their lifecycles;
 - the integrity of subscriber keys and certificates it manages is established and protected throughout their lifecycles;

- subscriber information is properly authenticated (for the registration activities performed by **WIS@key**); and
- subordinate CA certificate requests are accurate, authenticated, and approved
- maintained effective controls to provide reasonable assurance that:
 - logical and physical access to CA systems and data is restricted to authorized individuals;
 - the continuity of key and certificate management operations is maintained; and
 - CA systems development, maintenance, and operations are properly authorized and performed to maintain CA systems integrity

in accordance with the WebTrust Principles and Criteria for Certification Authorities v2.1 [<https://www.cpacanada.ca/-/media/site/operational/ms-member-services/docs/webtrust/principles-and-criteria-for-certification-authorities-v2-1.pdf>], including the following:

CA Business Practices Disclosure

- Certification Practice Statement (CPS)
- Certificate Policy (CP, embedded in CPS document)

CA Business Practices Management

- Certificate Policy Management
- Certification Practice Statement Management
- CP and CPS Consistency

CA Environmental Controls

- Security Management
- Asset Classification and Management
- Personnel Security
- Physical & Environmental Security
- Operations Management
- System Access Management
- System Development and Maintenance
- Business Continuity Management
- Monitoring and Compliance
- Audit Logging

CA Key Lifecycle Management Controls

- CA Key Generation
- CA Key Storage, Backup, and Recovery
- CA Public Key Distribution
- CA Key Usage
- CA Key Archival and Destruction
- CA Key Compromise
- CA Cryptographic Hardware Lifecycle Management
- CA Key Escrow

Subscriber Key Lifecycle Management Controls

- CA-Provided Subscriber Key Generation Services
- CA-Provided Subscriber Key Storage and Recovery Services
- Integrated Circuit Card (ICC) Lifecycle Management
- Requirements for Subscriber Key Management

Appendix A: PKI Hierarchy in scope of the WebTrust audit

The following table lists the current list of technically unconstrained CAs operated by WIS@key, active at the time of publication of this CPS version.

Hierarchy under OISTE Root “Generation A”

Subject Name	Issuer Name	Fingerprint	CA Type	Status	Allowed OISTE CPs	Comments
CN=WIS@key CertifyID Qualified G1 CA, OU=International, OU=Copyright (c) 2006 WIS@key SA, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	68:C1:82:C8:FE:37:4D:5C:09:4E:63:25:95:59:F2:F0:76:4A:58:97:43:58:3F:4A:03:E3:F6:3B:74:D7:AE:EA	Policy CA	DEPRECATED ONLY CRL UPDATE	Not issuing leaf certificates	Only for SubCAs owned by WIS@key Not allowed for new issuances
CN=WIS@key CertifyID Advanced G1 CA, OU=International, OU=Copyright (c) 2005 WIS@key SA, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	46:A2:A3:A0:F1:1E:03:C0:3A:41:F3:E5:17:5F:D5:8B:EC:10:22:FB:81:EB:93:49:31:7E:1F:DB:A6:4C:C3:D4	Policy CA	DEPRECATED ONLY CRL UPDATE	Not issuing leaf certificates	Only for SubCAs owned by WIS@key Not allowed for new issuances
CN=WIS@key CertifyID Standard G1 CA, OU=International, OU=Copyright (c) 2005 WIS@key SA, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	AE:DA:9E:12:9E:5F:BA:12:53:CA:79:11:6A:ED:99:0D:DF:AA:AB:24:BD:48:A1:CC:BD:99:A7:E0:3C:9D:DB:96	Policy CA	DEPRECATED ONLY CRL UPDATE	Not issuing leaf certificates	Only for SubCAs owned by WIS@key Not allowed for new issuances
CN=WIS@key CertifyID Policy GA CA 1, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	05:74:6C:EA:C8:30:B3:84:40:5A:B7:3C:D6:23:9D:E4:2C:E9:F4:87:55:3E:F3:FA:8B:0A:06:4E:57:92:68:3C	Policy CA	DEPRECATED ONLY CRL UPDATE	Not issuing leaf certificates	Only for Technically Constrained SubCAs owned by third parties Not allowed for new issuances
CN=WIS@key Qualified Services CA 2, OU=International, OU=Copyright (c) 2013 WIS@key SA, O=WIS@key, C=CH	CN=WIS@key CertifyID Qualified G1 CA, OU=International, OU=Copyright (c) 2006 WIS@key SA, O=WIS@key, C=CH	30:0E:B3:21:90:57:8B:9A:C5:78:E1:A1:D2:80:04:21:91:45:69:8C:E3:B6:3F:29:DF:21:EE:4C:54:2C:08:85	Issuing CA	DEPRECATED ONLY CRL UPDATE	CP for Personal Certificates (Qualified profile)	Legacy CA Not allowed for new issuances
CN=WIS@key CertifyID Advanced Services CA 2, OU=International, OU=Copyright 2011 WIS@key SA, O=WIS@key, C=CH	CN=WIS@key CertifyID Advanced G1 CA, OU=International, OU=Copyright (c) 2005 WIS@key SA, O=WIS@key, C=CH	0A:D7:41:D9:8A:84:C3:B9:C4:E7:55:2E:15:BF:F1:E3:69:B6:80:68:39:45:5D:BA:FC:AC:63:81:24:D5:F1:00	Issuing CA	DEPRECATED ONLY CRL UPDATE	CP for Personal Certificates (Advanced profile)	Legacy CA Not allowed for new issuances
CN=WIS@key CertifyID Advanced Services CA 3, OU=International, OU=Copyright (c) 2015 WIS@key SA, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	6F:90:A5:FD:98:8F:AE:CC:EC:5B:17:E5:61:2F:F3:6D:19:9C:88:45:51:F3:94:F3:1A:AB:A4:F9:21:E4:2A:05	Issuing CA	DEPRECATED ONLY CRL UPDATE	CP for Personal Certificates (Advanced profile)	Not allowed for new issuances
CN=WIS@key CertifyID Advanced Services CA 4, OU=International, OU=Copyright (c) 2016 WIS@key SA, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GA CA, OU=OISTE Foundation Endorsed, OU=Copyright (c) 2005, O=WIS@key, C=CH	41:14:4B:D4:17:4C:31:52:E1:CA:52:6F:77:D9:F9:CE:89:DE:BC:4E:BA:6C:77:8F:81:5C:21:16:4B:51:01:D3	Issuing CA	ACTIVE	CP for Personal Certificates (Advanced profile)	Previously allowed also for SSL Certificates
CN=WIS@key CertifyID Standard Services CA 2, OU=International, OU=Copyright (c) 2012 WIS@key SA, O=WIS@key, C=CH	CN=WIS@key CertifyID Standard G1 CA, OU=International, OU=Copyright (c) 2005 WIS@key SA, O=WIS@key, C=CH	13:4A:96:99:68:C7:0E:47:65:13:B3:7B:01:3D:8C:13:8C:21:10:46:69:B5:AD:62:D4:D5:FA:B1:68:69:B9:EA	Issuing CA	ACTIVE	CP for Personal Certificates (Standard profile)	Legacy CA used only for Free S/MIME Certificates, in process to be deprecated

Hierarchy under OISTE Root “Generation B”

Subject Name	Issuer Name	Fingerprint	CA Type	Status	Allowed OISTE CPs	Comments
CN= WIS@key CertifyID SSL GB CA 1, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GB CA, OU=OISTE Foundation Endorsed, O=WIS@key, C=CH	EC:02:02:5A:1A:15:7B:2C:02:AC:49:F5:59:A8:B6:CF:07:21:26:F8:37:94:99:FA:E7:C5:2E:D1:83:DA:90:12	Issuing CA	ACTIVE	CP for SSL Certificates	Constrained by EKU extension Enabled for EV SSL Certificates
CN= WIS@key CertifyID Personal GB CA 1, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GB CA, OU=OISTE Foundation Endorsed, O=WIS@key, C=CH	EE:BE:DF:84:6F:1D:BA:0E:88:A9:B6:B9:AB:0F:F6:5F:09:7F:28:4C:06:A6:4A:93:9E:E8:C5:C9:E6:F3:13:F4	Issuing CA	ACTIVE	CP for Personal Certificates	Constrained by EKU extension Enabled for S/MIME Certificates
CN= WIS@key CertifyID Personal GB CA 2, O=WIS@key, C=CH	CN=OISTE WIS@key Global Root GB CA, OU=OISTE Foundation Endorsed, O=WIS@key, C=CH	56:F3:21:67:F0:03:D8:F2:CB:73:8A:B3:86:C3:5C:A1:52:65:CC:29:A9:8E:E1:06:6C:D3:E1:AC:55:6C:A1:5C	Issuing CA	ACTIVE	CP for Personal Certificates	Constrained by EKU extension Enabled for S/MIME Certificates

Hierarchy under OISTE Root “Generation C”

Subject Name	Issuer Name	Fingerprint	CA Type	Status	Allowed OISTE CPs	Comments
CN= WIS@key CertifyID SSL GB CA 1, O=WIS@key, C=CH	CN= OISTE WIS@key Global Root GC CA, OU=OISTE Foundation Endorsed, O=WIS@key, C=CH	38:7D:49:6B:92:20:2D:4C:44:3C:D9:4F:F4:2D:A1:7D:F2:F1:E6:8E:24:4C:2F:BB:A7:E2:94:DB:DD:11:35:7B	Issuing CA	ACTIVE	CP for SSL Certificates CP for Personal Certificates	Test CA, not enabled for production certificates

Appendix B: CP/CPS documents in scope of the WebTrust audit

CP Documents

CP for Personal Certificates

Version	Date	URL
1.1	21/Mar/19	https://oiste.org/wp-content/uploads/OGTM-CP-Personal-Certificates.v1.1.pdf

CP for SSL Certificates

Version	Date	URL
1.0	25/Feb/19	https://oiste.org/wp-content/uploads/OGTM-CP-SSL-Certificates.v1.0.pdf

CP for Device Certificates

Version	Date	URL
1.0	25/Feb/19	https://oiste.org/wp-content/uploads/OGTM-CP-Device-Certificates.v1.0.pdf

Notes:

- The CP are defined by the OISTE Foundation, and WIS@key adheres to it as subordinate CA, under the OISTE Roots

CPS Documents

Version	Date	URL
3.0	25/Feb/19	https://cdn.wisekey.com/uploads/images/WPKI-WIS@key-CPS.v3.0.0.pdf
3.1	10/May/19	https://cdn.wisekey.com/uploads/images/WPKI-WIS@key-CPS.v3.1-CLEAN.pdf
3.2	22/April/20	https://cdn.wisekey.com/wp-content/uploads/2020/04/WPKI-WIS@key-CPS.v3.2-CLEAN.pdf