

| WebTrust Audit Applicability | | | | | | | | | |
|--|-------------------|-----------------------|-----------------------|------------------------|-----------------------|-------------------------|----------------------|--------------------|-----------------|
| Based on current CA/Browser Forum and Other Requirements | | | | | | | | | |
| Last Updated: 1-Feb-18 | | | | | | | | | |
| | RKGC ⁷ | CA | EV SSL | SSL Baseline + Network | EV CS | CS Publicly Trusted | Additional Microsoft | Additional Mozilla | Additional FPKI |
| Private PKI | Optional | Optional | N/A | Optional | N/A | Optional ¹ | See footnote 3 | N/A | N/A |
| Publicly-Trusted Commercial PKI - SSL | Required | Required | N/A | Required | N/A | N/A | See footnote 3 | N/A | N/A |
| Publicly-Trusted Commercial PKI - EV SSL | Required | Required | Required | Required | N/A | N/A | See footnote 3 | N/A | N/A |
| Publicly-Trusted Commercial PKI - CS | Required | Required | N/A | Not Required | N/A | Required ¹ | See footnote 3 | N/A | N/A |
| Publicly-Trusted Commercial PKI - EV CS | Required | Required | N/A | Not Required | Required | Required ¹ | See footnote 3 | N/A | N/A |
| Publicly-Trusted Commercial PKI - All other uses | Required | Required | N/A | Not Required | N/A | N/A | See footnote 3 | N/A | N/A |
| Publicly-Trusted Government PKI - SSL | Required | Required ² | N/A | Required ² | N/A | N/A | See footnote 3 | See footnote 4 | N/A |
| Publicly-Trusted Government PKI - EV SSL | Required | Required ² | Required ² | Required ² | N/A | N/A | See footnote 3 | See footnote 4 | N/A |
| Publicly-Trusted Government PKI - CS | Required | Required ² | N/A | Not Required | N/A | Required ^{1,2} | See footnote 3 | See footnote 4 | N/A |
| Publicly-Trusted Government PKI - EV CS | Required | Required ² | N/A | Not Required | Required ² | Required ^{1,2} | See footnote 3 | See footnote 4 | N/A |
| Publicly-Trusted Government PKI - All other uses | Required | Required ² | N/A | Not Required | N/A | N/A | See footnote 3 | See footnote 4 | N/A |
| PKI X-Cert with USA Federal Bridge | Required | Required ⁵ | N/A | N/A | N/A | N/A | See footnote 3 | N/A | See footnote 6 |

Reporting Requirements

For all WebTrust audit schemes, the initial audit can be either (1) as at a point in time, or (2) over a period of time (minimum period of coverage of 2 months and not to exceed 12 months). All subsequent audits must be over a period of time (minimum period of coverage of 2 months not to exceed 12 months), with the audit period commencing on the day following the end of the previous audit period to ensure continuous coverage. NOTE: Point in time audits are not eligible for a WebTrust seal. WebTrust seals expire 15 months after the end of the audit period. Current report templates are available in WebTrust for CA - Illustrative Reports v1.0.

WebTrust for CA

WebTrust for CA is considered to be the 'base' audit scheme and is therefore required to be completed in all instances. Additional audits for Extended Validation (SSL or Code Signing) and Baseline Requirements are designed to be conducted concurrently with a WebTrust for CA audit.

Footnotes

- 1 Issuance and Management of Publicly-Trusted Code Signing Certificates is required for periods starting on or after February 1, 2017 for code signing certificates trusted by Microsoft Windows. Refer to <http://aka.ms/auditreqs>. See section 3.14.
- 2 Microsoft accepts an 'equivalent' audit for Government CAs in lieu of a WebTrust audit, with certain restrictions. For more information, refer to <http://aka.ms/auditreqs>.
- 3 Microsoft publishes specific technical requirements for Roots and certificates that are part of its root programme. Refer <http://aka.ms/auditreqs> for the most up to date version of the technical requirements.
- 4 Mozilla does not currently make a distinction between Commercial and Government CAs. Therefore, the requirements for Commercial CAs apply equally to a Government CA if part of the Mozilla Root Programme
- 5 Includes special reporting requirements for the Federal PKI
- 6 Specific CP and CPS disclosures required; must map to CP of Federal Bridge
- 7 RKGC refers to audit reports to be issued in conjunction with a WebTrust Auditor's witnessing of CA's Root Key Generation Ceremony

| Audit Scheme Versions | | | |
|--|---------|--------------|----------------|
| Scheme | Version | Release Date | Effective Date |
| WebTrust for CA | 2.0 | 1-Mar-11 | 1-Jul-11 |
| WebTrust for CA | 2.1 | 1-Sep-17 | 1-Sep-17 |
| WebTrust for CA - Extended Validation - SSL | 1.6.0 | 31-Jan-17 | 1-Jan-17 |
| WebTrust for CA - Extended Validation - SSL | 1.6.2 | 1-Oct-17 | 1-Oct-17 |
| WebTrust for CA - Extended Validation - Code Signing | 1.4 | 31-Jan-17 | 1-Jan-17 |
| WebTrust for CA - Extended Validation - Code Signing | 1.4.1 | 1-Oct-17 | 1-Oct-17 |
| WebTrust for CA - SSL Baseline with Network Security | 2.2 | 31-Jan-17 | 1-Dec-16 |
| WebTrust for CA - SSL Baseline with Network Security | 2.3 | 1-Feb-18 | 1-Feb-18 |
| WebTrust for CA - Publicly Trusted Code Signing Certificates | 1.0 | 1-Feb-17 | 1-Feb-17 |
| WebTrust for CA - Publicly Trusted Code Signing Certificates | 1.0.1 | 1-Oct-17 | 1-Oct-17 |